

ADV 2B

Highland Capital Advisors, LLC

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This **Brochure Supplement** provides information about Highland's Investment Advisor Representatives (IAR) that supplements the Highland Capital Advisors, LLC ("HCA") Brochure. You should have received a copy of that Brochure. Please contact the Chief Compliance Officer at compliance@hcadv.com if you did not receive HCA's Brochure or if you have any questions about the contents of this supplement.

Additional information about HCA and each IAR is available on the SEC's website at www.adviserinfo.sec.gov.

ADV Part 2B For: William Small

Item 2- Educational Background and Business Experience

William Sean Small Born 1966

Education & Registration Background

Bachelor of Science Mathematics with Computer Science minor, Central Washington University
Certified Investment Management Analyst (CIMA)
Enrolled Actuary (inactive)
Associate in the Society of Actuaries (inactive)
Member, American Academy of Actuaries (inactive)
Series 7 (inactive) / Series 66 registered
WA State Insurance licensed (inactive)

Business Background

Life actuary with Safeco Insurance, 1987
Principal, Howard Johnson & Company, 1988 - 1998
Director, Merrill Lynch, 1998 - 2004
Principal, Highland Capital Advisors, LLC, 2006 - present

Item 3- Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each Supervised Person providing investment advice. No information is applicable to this Item.

Item 4- Other Business Activities

Member of Rainier Set Sail, a Limited Liability Company that owns and operates an office building in Issaquah, WA.

Item 5- Additional Compensation

None.

Item 6 - Supervision

The activities of this individual are supervised through a peer-review process by other HCA personnel and by HCA's chief compliance officer. The names, titles and telephone numbers of the other individuals involved in supervising a particular client account can be requested from the supervised person listed in this Brochure Supplement or by emailing compliance@hcadv.com.

ADV Part 2B For: Richard Wyman

Item 2- Educational Background and Business Experience

Richard Cameron Wyman Born 1962

Education & Registration Background

BA Mathematics, Ohio Wesleyan University, 1984

Certified Public Accountant (inactive)

Series 7 (inactive) / Series 65 registered

Business Background

Senior Analyst, Wurts & Associates, 1/86 to 5/90

Director of Manager Research, Wurts & Associates, 5/90 to 8/95

Principal and Senior Consultant, Wurts & Associates, 8/95 to 12/05

Chief Financial Officer, Wurts & Associates, 6/00 to 12/05

Chief Compliance Officer, Wurts & Associates, 12/03 to 12/05

Principal, Highland Capital Advisors, LLC, 3/06 to present

Item 3- Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

Item 4- Other Business Activities

Member of Rainier Set Sail, a Limited Liability Company that owns and operates an office building in Issaquah, WA.

Item 5- Additional Compensation

None.

Item 6 - Supervision

The activities of this individual are supervised through a peer-review process by other HCA personnel and by HCA's chief compliance officer. The names, titles and telephone numbers of the other individuals involved in supervising a particular client account can be requested from the Supervised Person listed in this Brochure Supplement or by emailing compliance@hcadv.com.

ADV Part 2B For: David Rowe

Item 2- Educational Background and Business Experience

David Sinclair Rowe Born 1965

Education & Registration Background

BA Mathematics, University of Washington, 1988
Certified Investment Management Analyst (CIMA)
Certified Pension Consultant (CPC) and Certified 401(k) Consultant (QKC)
Certified Qualified Plan Administrator (QPA) and Qualified 401(k) Administrator (QKA)
Series 7 (inactive) / Series 65 registered
WA State Insurance licensed (inactive)

Business Background

Pension Actuary, Coast Consultants, 1988 – 1990
Consultant, Howard Johnson & Company, 1990 – 1991
Director Defined Contribution Consulting, Northern Trust, 1991 – 2003
Senior Consultant, Kibble & Prentice 2003 – 2005
Regional Director, Prudential Financial, 2005 – 2010
Senior Consultant, Arthur J. Gallagher, 2010 – 2012
Senior Consultant, Highland Capital Advisors, LLC, 2012 to present

Item 3- Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

Item 4- Other Business Activities

None.

Item 5- Additional Compensation

None.

Item 6 - Supervision

The activities of this individual are supervised through a peer-review process by other HCA personnel and by HCA's chief compliance officer. The names, titles and telephone numbers of the other individuals involved in supervising a particular client account can be requested from the Supervised Person listed in this Brochure Supplement or by emailing compliance@hcadv.com.

ADV Part 2B For: David Mann

Item 2- Educational Background and Business Experience

David Geoffrey Mann Born 1973

Education & Registration Background

BA Marketing, Loras College

MBA, Keller Graduate School of Management

Certified Plan Fiduciary Advisors (CPFA) from ASPPA

Certified Qualified Plan Administrator (QPA) from ASPPA

Certified Qualified 401(k) Administrator (QKA) from ASPPA

Accredited Investment Fiduciary (AIF®) from the Center of Fiduciary Studies

Series 6 / Series 7 / Series 63 / Series 24 registered (inactive)

WA State Insurance licensed (inactive)

Series 65 Registered

Business Background

Account Manager, LaSalle National Trust 1995 to 2001

Benefits Consultant, Northern Trust Retirement Consulting 2001 to 2003

Sr. Retirement Plan Consultant, Invesmart, Inc. 2003 to 10/2005

Sr. Client Service Manager, Principal Financial 10/2005 to 10/2006

Strategic Sales Director, Prudential Financial 11/2006 to 6/2012

Director of Account Management, ClearPoint Financial 9/20012 to 12/2014

Senior Relationship Manager, Wells Fargo 1/2015 to 8/2015

Director of Account Management, Clearpoint Financial 9/2015 to 11/2017

Senior Consultant, Highland Capital Advisors, LLC, 12/2017 to present

Item 3- Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

Item 4- Other Business Activities

None.

Item 5- Additional Compensation

None.

Item 6 - Supervision

The activities of this individual are supervised through a peer-review process by other HCA personnel and by HCA's chief compliance officer. The names, titles and telephone numbers of the other individuals involved in supervising a particular client account can be requested from the Supervised Person listed in this Brochure Supplement or by emailing compliance@hcadv.com.